



## Acknowledgment (Bill 96-Quebec)

The customer  
« client »

The advisor  
« advisor »

Ref. no / Folder no / \_\_\_\_\_

Application no / Policy no \_\_\_\_\_

I, the undersigned "client", confirms receipt by my "advisor", of all forms indicated below in French according to bill 96. However, by signing this acknowledgment, I am requesting that all documents be given to me in English. I affirm that I understand and accept the financial solution proposed to me by my "advisor".

- Consent to use and retain personal information
- Collection of personal information
- Signed advisor declaration.
- Factual inquiry, needs analysis and/or investor profile
- Explanatory letter for recommendations
- Insurance and/or investment applications and forms
- Advance notice of replacement
- Illustration
- Investment brochures/Fund facts
- Investment transaction forms (e.g. T2033, new deposit, withdrawal)
- Signed documentation when client decides not to follow recommendations.
- Notes/summary of discussions or interviews with client
- Documentation on customer concerns and complaints

Signed in : \_\_\_\_\_

Date : \_\_\_\_\_

Customer signature : \_\_\_\_\_